# Performance Analysis Methodology

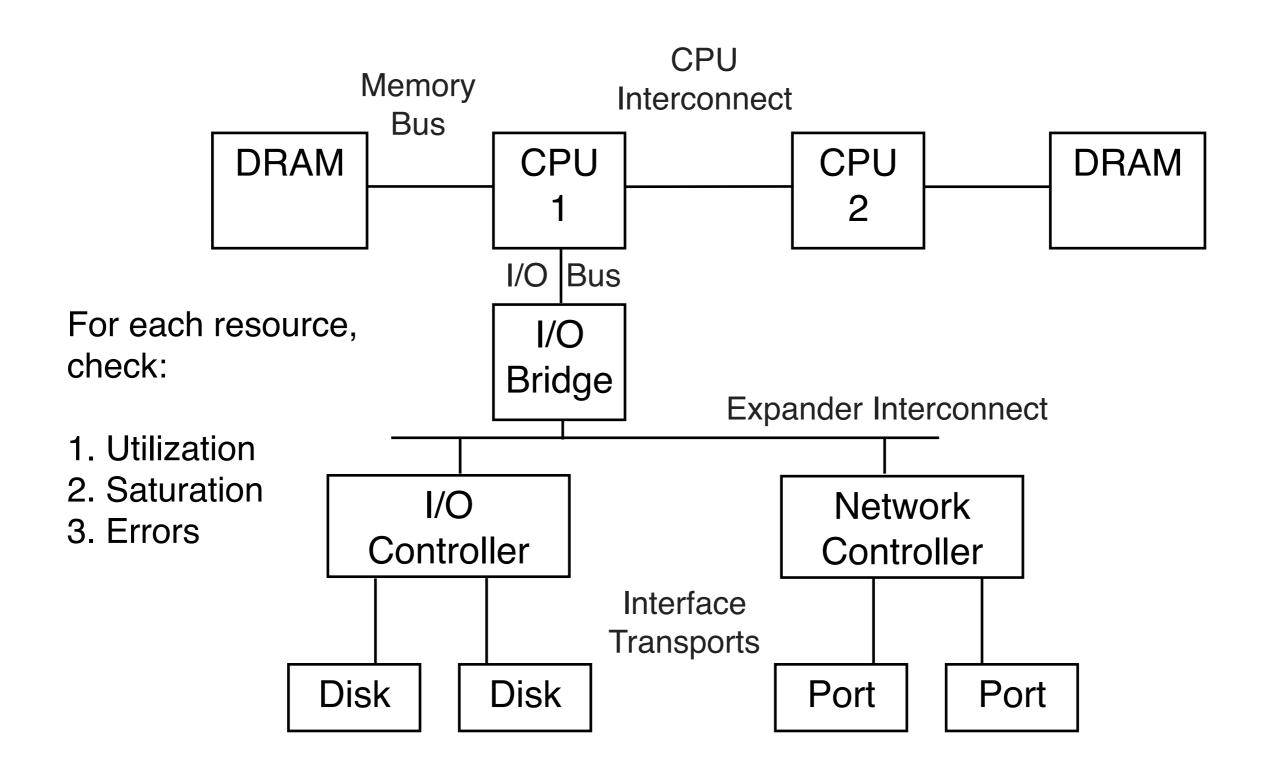
#### **Brendan Gregg**

Lead Performance Engineer

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LISA'12 December, 2012

#### In particular, the USE Method



#### whoami

- Lead Performance Engineer
- Work/Research: tools, visualizations, methodologies
- Was Brendan@Sun Microsystems, Oracle, now Joyent

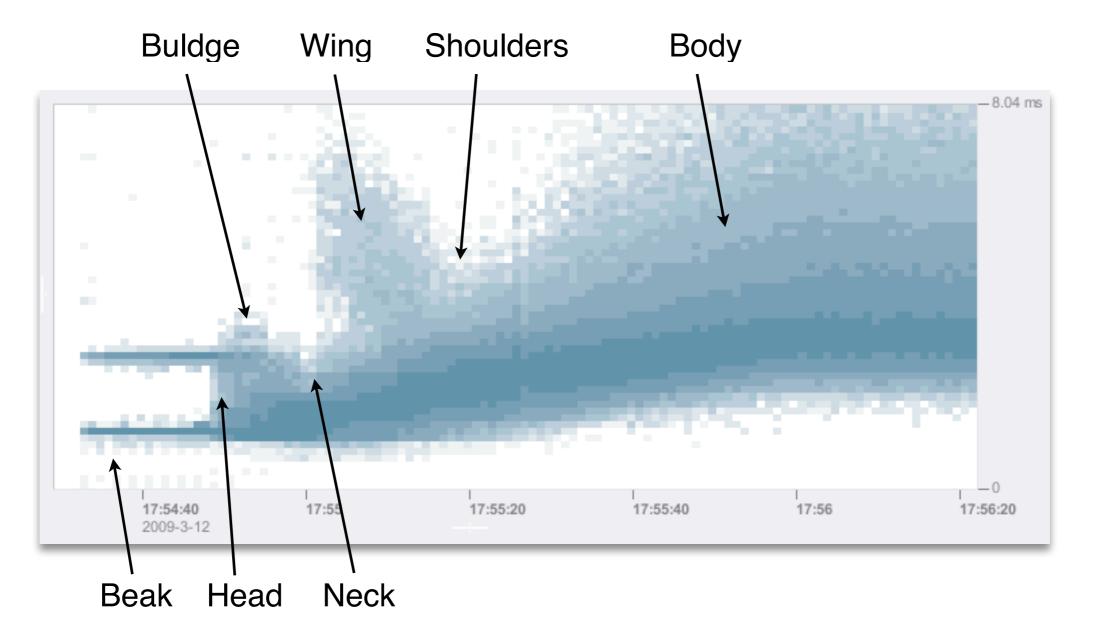
#### Joyent



- High-Performance Cloud Infrastructure
  - Public/private cloud provider
- OS-Virtualization for bare metal performance
- KVM for Linux guests
- Core developers of SmartOS and node.js

# LISAIO: Performance Visualizations

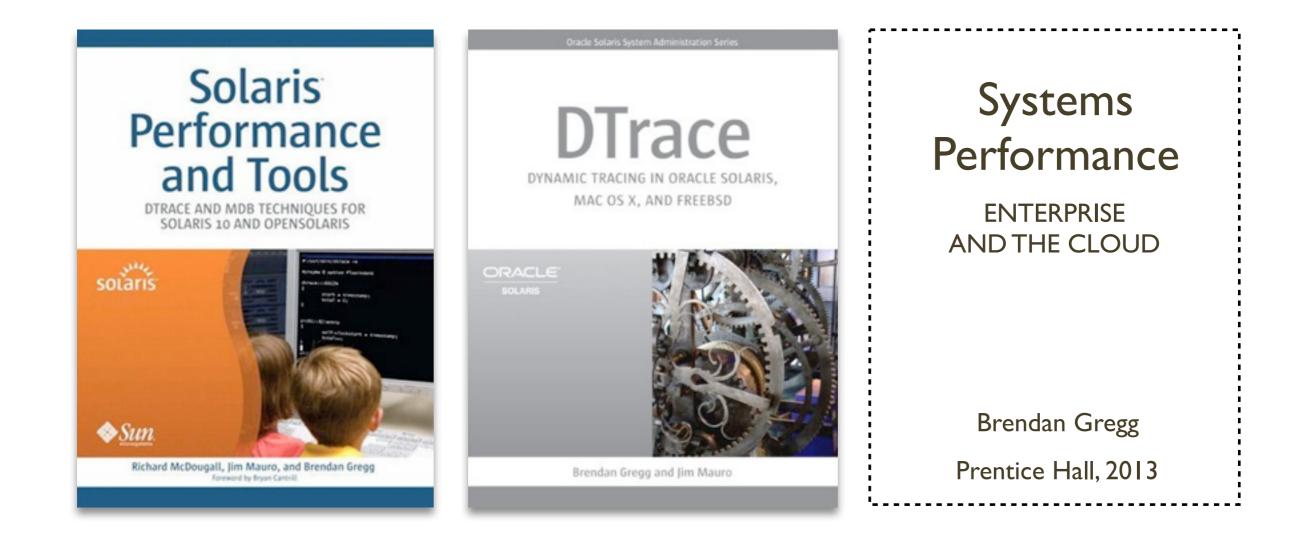
#### Included latency heat maps



http://dtrace.org/blogs/brendan/2012/12/10/usenix-lisa-2010-visualizations-for-performance-analysis/

# LISA 12: Performance Methodologies

Also a focus of my next book



# Agenda

- Performance Issue Example
- Ten Performance Methodologies and Anti-Methodologies:
  - 1. Blame-Someone-Else Anti-Method
  - 2. Streetlight Anti-Method
  - 3. Ad Hoc Checklist Method
  - 4. Problem Statement Method
  - 5. Scientific Method
  - 6. Workload Characterization Method
  - 7. Drill-Down Analysis Method
  - 8. Latency Analysis Method
  - 9. USE Method
  - 10. Stack Profile Method

# Agenda, cont.

- Content based on:
  - Thinking Methodically About Performance. ACMQ http://queue.acm.org/detail.cfm?id=2413037
  - Systems Performance. Prentice Hall, 2013
- A focus on systems performance; also applicable to apps

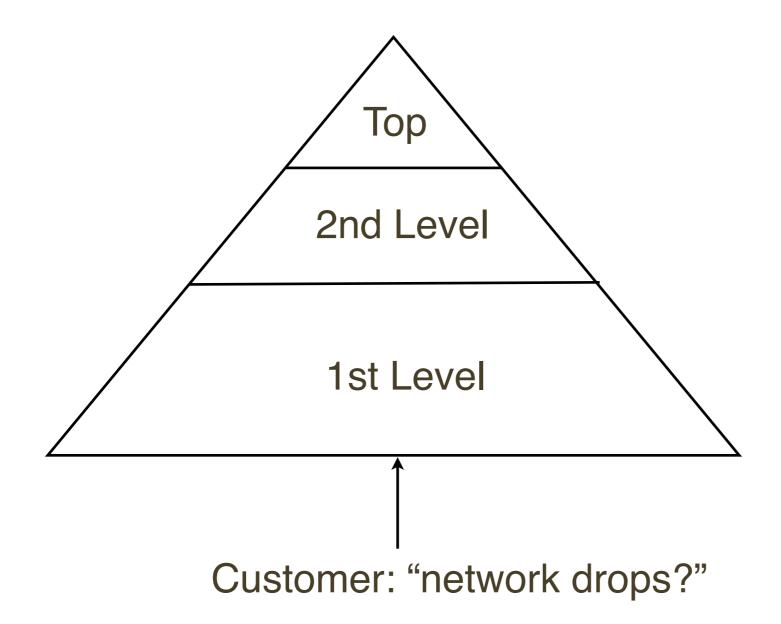
# Performance Issue

• An example cloud-based performance issue:

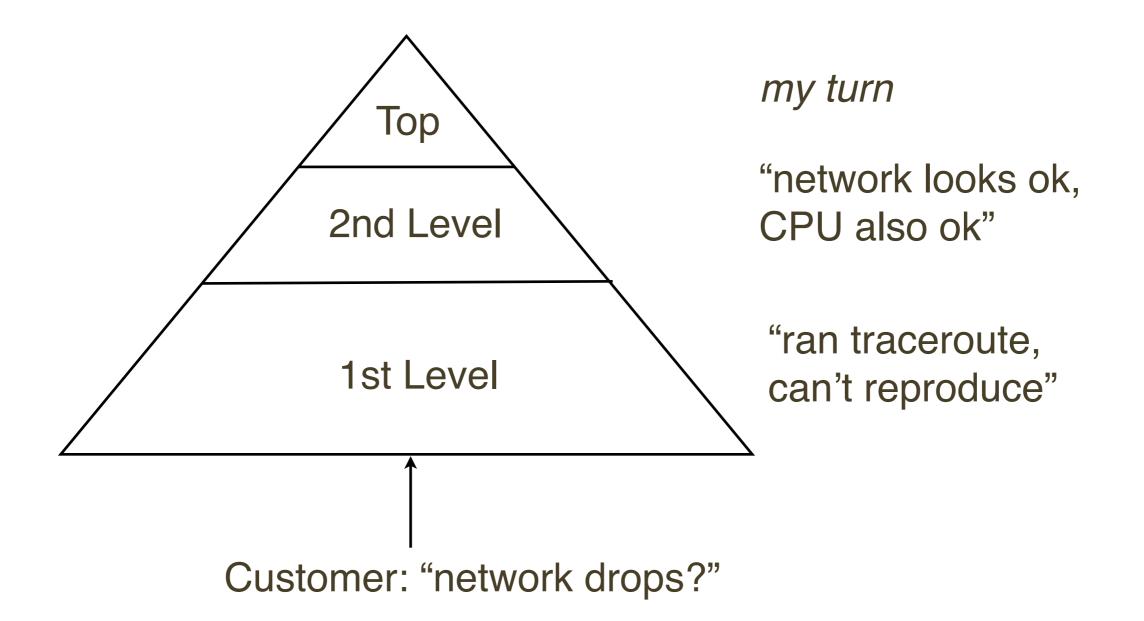
"Database response time sometimes take multiple seconds. Is the network dropping packets?"

 They tested the network using traceroute, which showed some packet drops

• Performance Analysis



Performance Analysis



- Could try network packet sniffing
  - tcpdump/snoop
  - Performance overhead during capture (CPU, storage) and post-processing (wireshark, etc)
  - Time consuming to analyze: not real-time

- Could try dynamic tracing
  - Efficient: only drop/retransmit paths traced
  - Context: kernel state readable
  - Real-time: analysis and summaries

# ./tcplistendrop.d				
TIME	SRC-IP	PORT	DST-IP	PORT
2012 Jan 19 01:22:49	10.17.210.103	25691 ->	192.192.240.212	80
2012 Jan 19 01:22:49	10.17.210.108	18423 ->	192.192.240.212	80
2012 Jan 19 01:22:49	10.17.210.116	38883 ->	192.192.240.212	80
2012 Jan 19 01:22:49	10.17.210.117	10739 ->	192.192.240.212	80
2012 Jan 19 01:22:49	10.17.210.112	27988 ->	192.192.240.212	80
2012 Jan 19 01:22:49	10.17.210.106	28824 ->	192.192.240.212	80
2012 Jan 19 01:22:49	10.12.143.16	65070 ->	192.192.240.212	80
[]				

....

- Instead of either, I began with the USE method
- In < 5 minutes, I found:
  - CPU: ok (light usage)
  - network: ok (light usage)
  - memory: available memory was exhausted, and the system was paging!
  - disk: periodic bursts of 100% utilization

- Customer was surprised. These findings were then investigated using another methodology – latency analysis:
  - memory: using both microstate accounting and dynamic tracing to confirm that anonymous page-ins were hurting the database; worst case app thread spent 97% of time blocked on disk (data faults).
  - disk: using dynamic tracing to confirm synchronous latency at the application / file system interface; included up to 1000 ms fsync() calls.
- These confirmations took about 1 hour

- Methodologies can help identify and root-cause issues
- Different methodologies can be used as needed; in this case:
  - USE Method: quick system health
  - Latency Analysis: root cause
- Faster resolution of issues, frees time for multiple teams

# Performance Methodologies

- Not a tool
- Not a product
- Is a procedure (documentation)

- Not a tool  $\rightarrow$  but tools can be written to help
- Not a product  $\rightarrow$  could be in monitoring solutions
- Is a procedure (documentation)

- Audience
  - Beginners: provides a starting point
  - Experts: provides a reminder
  - Casual users: provides a checklist

- Operating system performance analysis circa '90s, metric-orientated:
  - Vendor creates metrics and performance tools
  - Users develop methods to interpret metrics
- Previously common methodologies:
  - Ad hoc checklists: common tuning tips
  - Tools-based checklists: for each tool, study useful metrics
  - Study kernel internals, then develop your own
- Problematic: vendors often don't provide the best metrics; can be blind to issue types

- Operating systems now provide dynamic tracing
  - See anything, not just what the vendor gave you
  - Hardest part is knowing what *questions* to ask
- Methodologies can pose the questions
  - What would previously be an academic exercise is now practical

• Starting with some *anti-methodologies* for comparison...

# Blame-Someone-Else Anti-Method

#### Blame-Someone-Else Anti-Method

- 1. Find a system or environment component you are not responsible for
- 2. Hypothesize that the issue is with that component
- 3. Redirect the issue to the responsible team
- 4. When proven wrong, go to 1

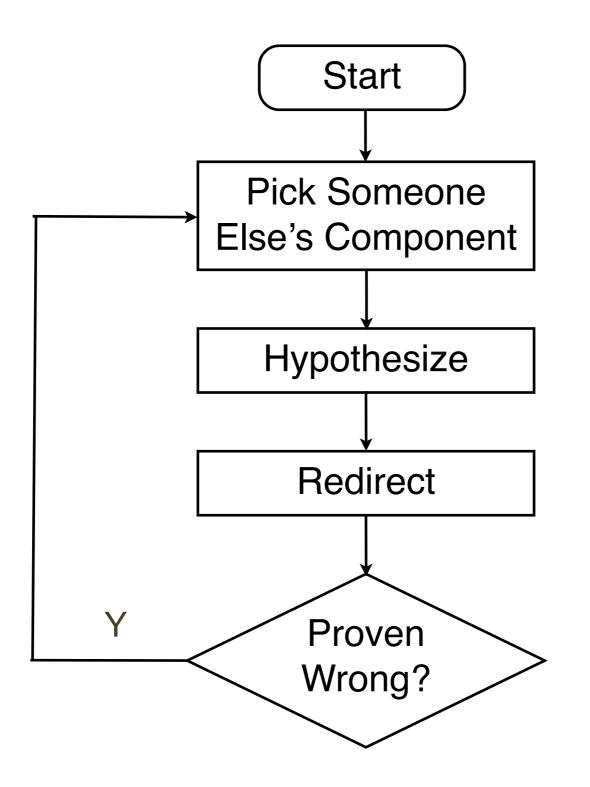
"Maybe it's the network.

Can you check with the network team if they have had dropped packets

... or something?"

- Find a system or environment component you are not responsible for
- 2. Hypothesize that the issue is with that component
- 3. Redirect the issue to the responsible team
- 4. When proven wrong, go to 1

... a colleague asked if I could make this into a flow chart



- Wasteful of other team resources
- Identifiable by a lack of data analysis or any data at all
- Ask for screenshots, then take them for a 2nd opinion

# Streetlight Anti-Method

# Streetlight Anti-Method

- 1. Pick observability tools that are
  - familiar
  - found on the Internet
  - found at random
- 2. Run tools
- 3. Look for obvious issues

• Named after an observational bias called the *streetlight effect* 

A policeman sees a drunk looking under a streetlight, and asks what he is looking for.

- The drunk says he has lost his keys.
- The policeman can't find them either,
- and asks if he lost them under the streetlight.
- The drunk replies:
- "No, but this is where the light is best."

```
$ ping 10.2.204.2
PING 10.2.204.2 (10.2.204.2) 56(84) bytes of data.
64 bytes from 10.2.204.2: icmp seq=1 ttl=254 time=0.654 ms
64 bytes from 10.2.204.2: icmp seq=2 ttl=254 time=0.617 ms
64 bytes from 10.2.204.2: icmp seq=3 ttl=254 time=0.660 ms
64 bytes from 10.2.204.2: icmp_seq=4 ttl=254 time=0.641 ms
64 bytes from 10.2.204.2: icmp seq=5 ttl=254 time=0.629 ms
64 bytes from 10.2.204.2: icmp seq=6 ttl=254 time=0.606 ms
64 bytes from 10.2.204.2: icmp seq=7 ttl=254 time=0.588 ms
64 bytes from 10.2.204.2: icmp seq=8 ttl=254 time=0.653 ms
64 bytes from 10.2.204.2: icmp seq=9 ttl=254 time=0.618 ms
64 bytes from 10.2.204.2: icmp seq=10 ttl=254 time=0.650 ms
^C
--- 10.2.204.2 ping statistics ---
10 packets transmitted, 10 received, 0% packet loss, time 8994ms
rtt min/avg/max/mdev = 0.588/0.631/0.660/0.035 ms
```

#### Why were you running ping?

top - 15:09:38 up 255 days, 16:54, 10 users, load average: 0.00, 0.03, 0.00
Tasks: 274 total, 1 running, 273 sleeping, 0 stopped, 0 zombie
Cpu(s): 0.7%us, 0.0%sy, 0.0%ni, 99.1%id, 0.1%wa, 0.0%hi, 0.0%si, 0.0%st
Mem: 8181740k total, 7654228k used, 527512k free, 405616k buffers
Swap: 2932728k total, 125064k used, 2807664k free, 3826244k cached

PID	USER	PR	NI	VIRT	RES	SHR	S	%CPU	% <b>MEM</b>	TIME+	COMMAND
16876	root	20	0	57596	17m	1972	S	4	0.2	3:00.60	python
3947	brendan	20	0	19352	1552	1060	R	0	0.0	0:00.06	top
15841	joshw	20	0	67144	23m	908	S	0	0.3	218:21.70	mosh-server
16922	joshw	20	0	54924	<b>11m</b>	920	S	0	0.1	121:34.20	mosh-server
1	root	20	0	23788	1432	736	S	0	0.0	0:18.15	init
2	root	20	0	0	0	0	S	0	0.0	0:00.61	kthreadd
3	root	RT	0	0	0	0	S	0	0.0	0:00.11	migration/0
4	root	20	0	0	0	0	S	0	0.0	18:43.09	ksoftirqd/0
5	root	RT	0	0	0	0	S	0	0.0	0:00.00	watchdog/0
[]											

• Why are you *still* running top?

- Tools-based approach
- Inefficient:
  - can take time before the right tool is found
  - can be wasteful when investigating false positives
- Incomplete:
  - tools are difficult to find or learn
  - tools are incomplete or missing

# Ad Hoc Checklist Method

# Ad Hoc Checklist Method

• 1..N. Run A, if B, do C

### Ad Hoc Checklist Method, cont.

- 1..N. Run A, if B, do C
- Each item can include:
  - which tool to run
  - how to interpret output
  - suggested actions
- Can cover common and recent issues

### Ad Hoc Checklist Method, cont.

- Page 1 of Sun Performance and Tuning [Cockcroft 95], has "Quick Reference for Common Tuning Tips"
  - disk bottlenecks
    - run iostat with 30 second intervals; look for more than 30% busy disks with +50ms service times; increasing the inode cache size can help; stripe file systems over multiple disks
  - NFS response times
    - run nfsstat -m, follow similar strategy as with disk bottlenecks
  - memory checks
    - don't worry about where RAM has gone, or page-ins and -outs; run vmstat and look at the page scanner: over 200 for 30 secs
  - etc.

# Ad Hoc Checklist Method, cont.

- Pros:
  - Easy to follow
  - Can also be fast
  - Consistent check of all items including egregious issues
  - Can be prescriptive
- Cons:
  - Limited to items on list
  - Point-in-time recommendations needs regular updates
- Pragmatic: a process for all staff on a support team to check a minimum set of issues, and deliver a practical result.

### Problem Statement Method

#### Problem Statement Method

- 1. What makes you think there is a performance problem?
- 2. Has this system ever performed well?
- 3. What has changed recently? (Software? Hardware? Load?)
- 4. Can the performance degradation be expressed in terms of latency or run time?
- 5. Does the problem affect other people or applications (or is it just you)?
- 6. What is the environment? What software and hardware is used? Versions? Configuration?

# Problem Statement Method, cont.: Examples

- 1. What makes you think there is a performance problem?
  - "I saw 1000 disk IOPS"
- 2. Has this system ever performed well?
  - "The system has never worked"
- 3. What has changed recently?
  - "We're on slashdot/HN/reddit right now"
- 4. Can the performance degradation be expressed ... latency?
  - "Query time is 10%/10x slower"
- 5. Does the problem affect other people or applications?
  - "All systems are offline"
- 6. What is the environment? ...
  - "We are on an ancient software version"

# Problem Statement Method, cont.: Examples

- 1. What makes you think there is a performance problem?
  - "I saw 1000 disk IOPS" not a problem by itself
- 2. Has this system ever performed well?
  - "The system has never worked" good to know!
- 3. What has changed recently?
  - "We're on slashdot/HN/reddit right now" scalability?
- 4. Can the performance degradation be expressed ... latency?
  - "Query time is 10%/10x slower" quantify
- 5. Does the problem affect other people or applications?
  - "All systems are offline" power/network?
- 6. What is the environment? ...
  - "We are on an ancient software version" known issue?

#### Problem Statement Method, cont.

- Often used by support staff for collecting information, and entered into a ticketing system
- Can be used first before other methodologies
- Pros:
  - Fast
  - Resolves a class of issues without further investigation
- Cons:
  - Limited scope (but this is obvious)

#### Scientific Method

# Scientific Method

- 1. Question
- 2. Hypothesis
- 3. Prediction
- 4. Test
- 5. Analysis

- Observation tests:
  - Run a tool, read a metric
- Experimental tests:
  - Change a tunable parameter
  - Increase/decrease load

- Experimental tests can either increase or decrease performance
- Examples:
  - A) Observational
  - B) Observational
  - C) Experimental: increase
  - D) Experimental: decrease
  - E) Experimental: decrease

- Example A, observational:
  - 1. Question: what is causing slow database queries?
  - 2. Hypothesis: noisy neighbors (cloud) performing disk I/O, contending with database disk I/O (via the file system)
  - 3. Prediction:

- Example A, observational:
  - 1. Question: what is causing slow database queries?
  - 2. Hypothesis: noisy neighbors (cloud) performing disk I/O, contending with database disk I/O (via the file system)
  - 3. Prediction: if file system I/O latency is measured during a query, it will show that it is responsible for slow queries
  - 4. Test: dynamic tracing of database FS latency as a ratio of query latency shows less than 5% is FS
  - 5. Analysis: FS, and disks, are not responsible for slow queries. Go to 2 and develop a new hypothesis

- Example B, observational:
  - 1. Question: why is an app slower after moving it to a multiprocessor system?
  - 2. Hypothesis: NUMA effects remote memory I/O, CPU interconnect contention, less cache warmth, cross calls, ...
  - 3. Prediction:

- Example B, observational:
  - 1. Question: why is an app slower after moving it to a multiprocessor system?
  - 2. Hypothesis: NUMA effects remote memory I/O, CPU interconnect contention, less cache warmth, cross calls, ...
  - 3. Prediction: increase in memory stall cycles, an increase in CPI, and remote memory access
  - 4. Test: perf events / cpustat, quality time with the vendor processor manuals
  - 5. Analysis: consistent with predictions
- time consuming; experimental?

- Example C, experimental:
  - 1. Question: why is an app slower after moving it to a multiprocessor system?
  - 2. Hypothesis: NUMA effects remote memory I/O, CPU interconnect contention, less cache warmth, cross calls, ...
  - 3. Prediction:

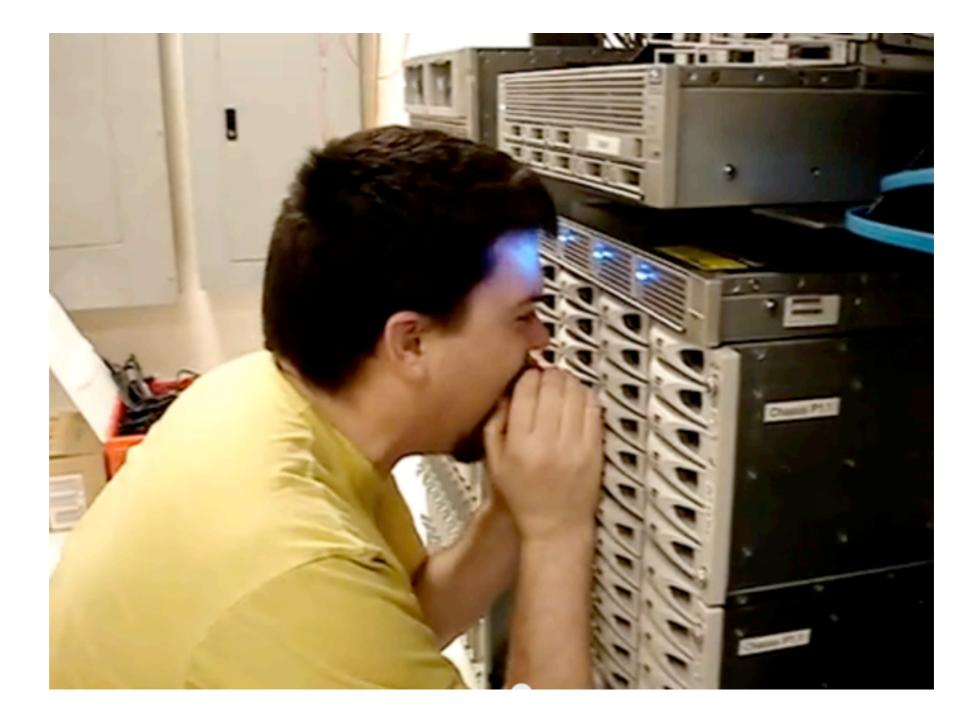
- Example C, experimental:
  - 1. Question: why is an app slower after moving it to a multiprocessor system?
  - 2. Hypothesis: NUMA effects remote memory I/O, CPU interconnect contention, less cache warmth, cross calls, ...
  - 3. Prediction: perf improved by disabling extra processors; partially improved by off-lining them (easier; still has remote memory I/O)
  - 4. Test: disabled all CPUs on extra processors, perf improved by 50%
  - 5. Analysis: magnitude consistent with perf reduction

- Example D, experimental:
  - 1. Question: degraded file system perf as the cache grows
  - 2. Hypothesis: file system metadata overheads, relative to the record size – more records, more lock contention on hash tables for the record lists
  - 3. Prediction:

- Example D, experimental:
  - 1. Question: degraded file system perf as the cache grows
  - 2. Hypothesis: file system metadata overheads, relative to the record size – more records, more lock contention on hash tables for the record lists
  - 3. Prediction: making the record size progressively smaller, and therefore more records in memory, should make perf progressively worse
  - 4. Test: same workload with record size /2, /4, /8, /16
  - 5. Analysis: results consistent with prediction

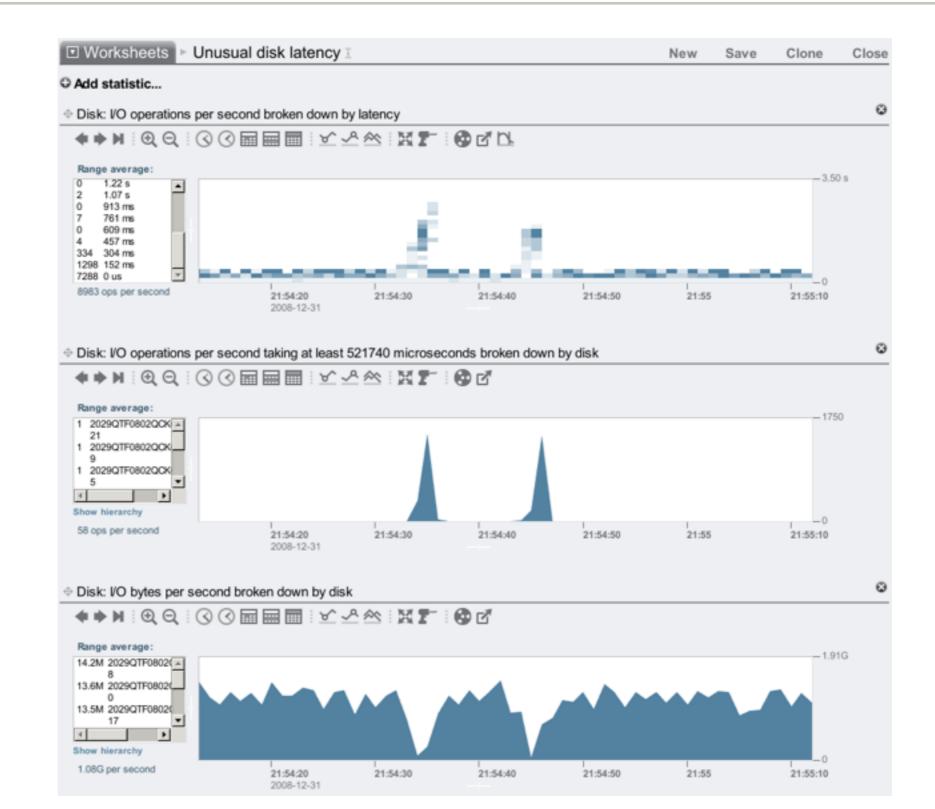
- Example E, experimental:
  - 1. Question: why did write throughput drop by 20%?
  - 2. Hypothesis: disk vibration by datacenter alarm
  - 3. Prediction: any loud noise will reduce throughput
  - 4. Test: ?

• Test



Shouting in the Datacenter: http://www.youtube.com/watch?v=tDacjrSCeq4

Analysis



• Pros:

- Good balance of theory and data
- Generic methodology
- Encourages thought, develops understanding

Cons:

- Hypothesis requires expertise
- Time consuming more suited for harder issues

# Workload Characterization Method

#### Workload Characterization Method

- 1. Who is causing the load? PID, UID, IP addr, ...
- 2. Why is the load called? code path
- 3. What is the load? IOPS, tput, type
- 4. How is the load changing over time?

### Workload Characterization Method, cont.

- Example:
  - System log checker is much slower after system upgrade
  - Who: grep(1) is on-CPU for 8 minutes
  - Why: UTF8 encoding, as LANG=en\_US.UTF-8
  - LANG=C avoided UTF8 encoding 2000x faster

#### Workload Characterization Method, cont.

- Identifies issues of load
- Best performance wins are from *eliminating unnecessary work*
- Don't assume you know what the workload is characterize

# Workload Characterization Method, cont.

• Pros:

- Potentially largest wins
- Cons:
  - Only solves a class of issues load
  - Time consuming, and can be discouraging most attributes examined will not be a problem

# **Drill-Down Analysis Method**

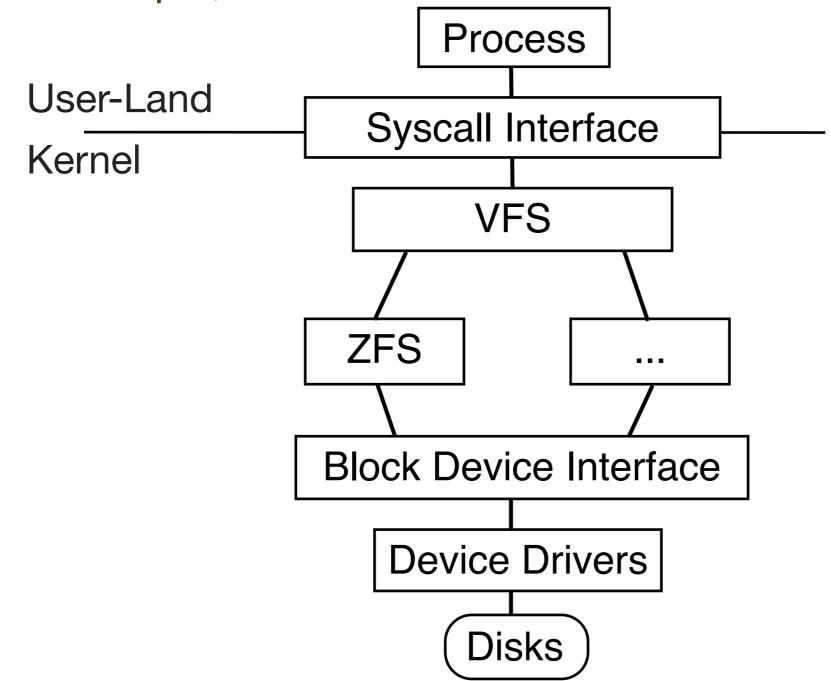
### **Drill-Down Analysis Method**

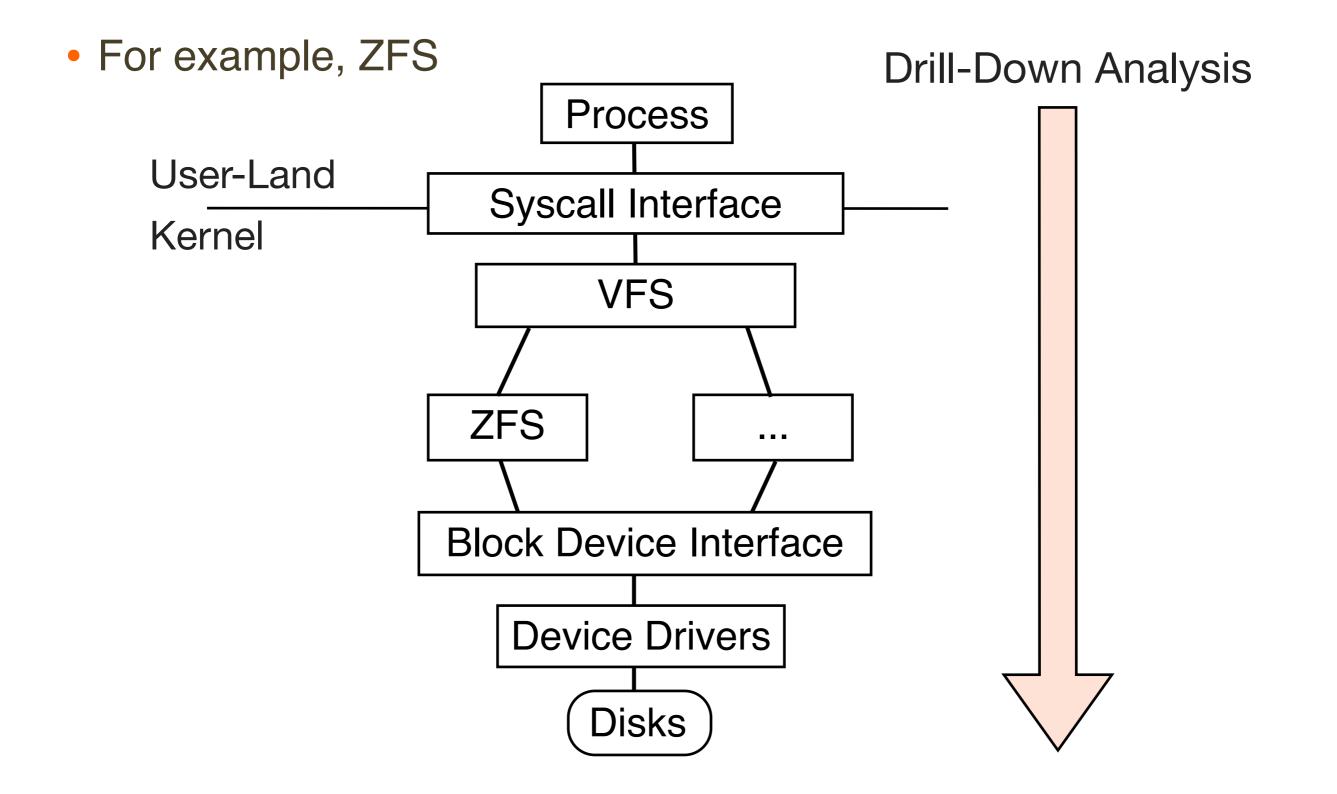
- 1. Start at highest level
- 2. Examine next-level details
- 3. Pick most interesting breakdown
- 4. If problem unsolved, go to 2

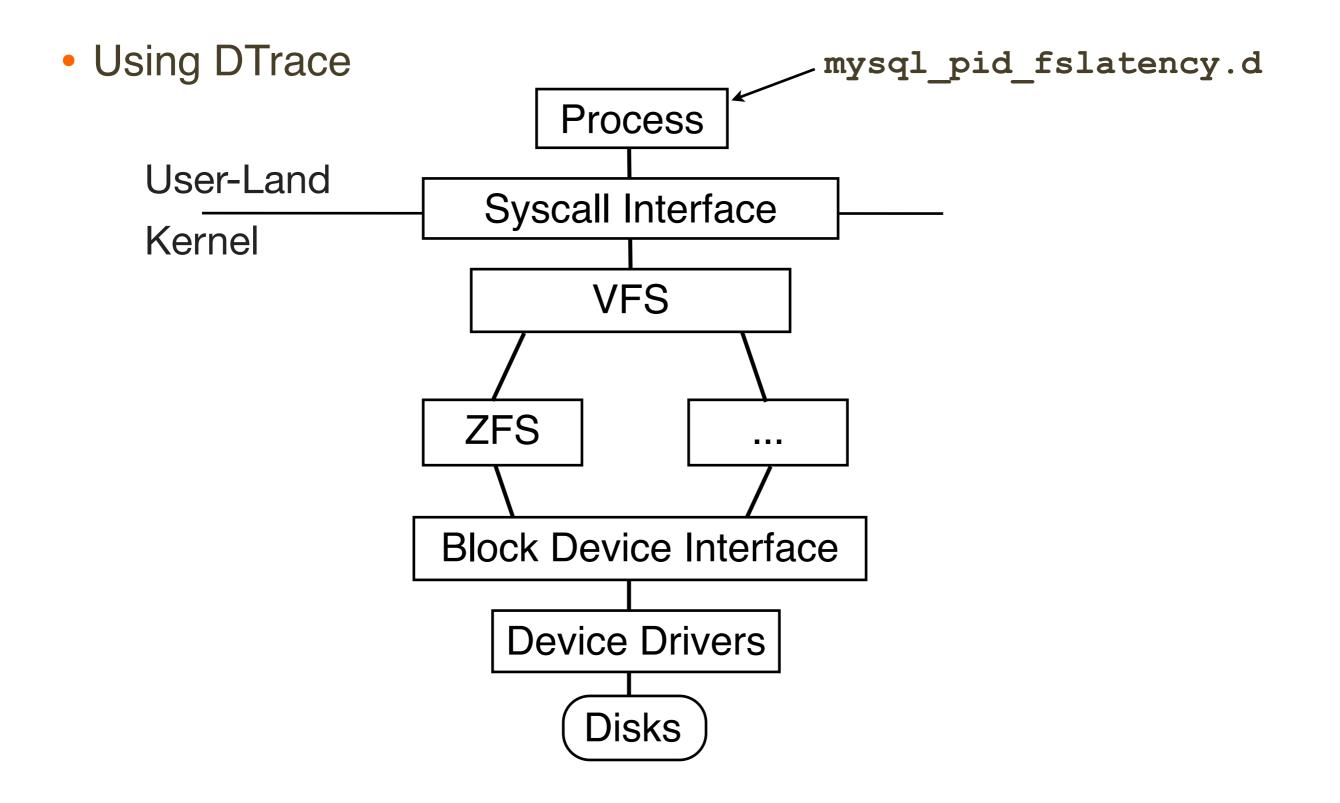
#### Drill-Down Analysis Method, cont.

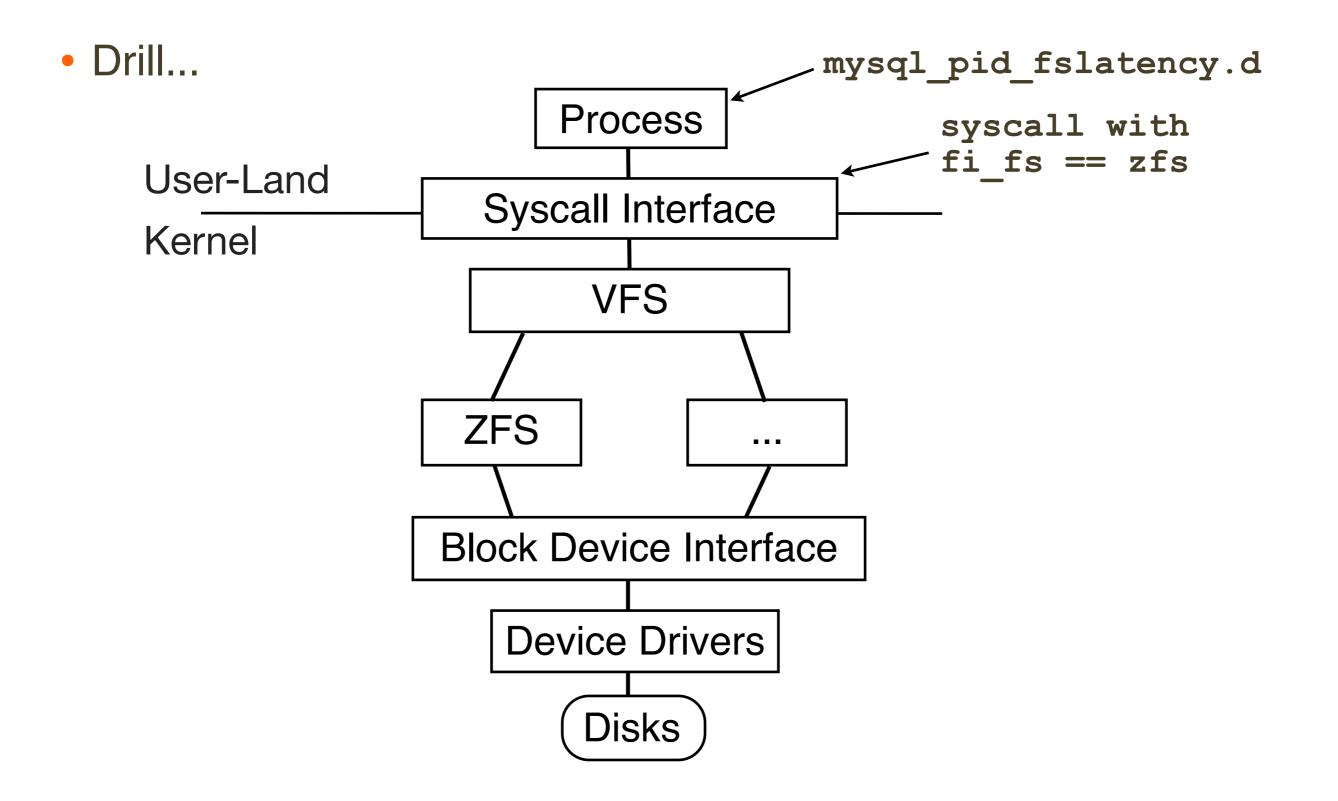
- For a distributed environment [McDougall 06]:
  - 1. **Monitoring**: environment-wide, and identifying or alerting when systems have issues (eg, SNMP)
  - 2. **Identification**: given a system, examining resources and applications for location of issue (eg, mpstat)
  - 3. **Analysis**: given a suspected source, drilling down to identify root cause or causes (eg, dtrace)
- Analysis stage was previously limited to the given toolset; now can be explored in arbitrary detail using dynamic tracing

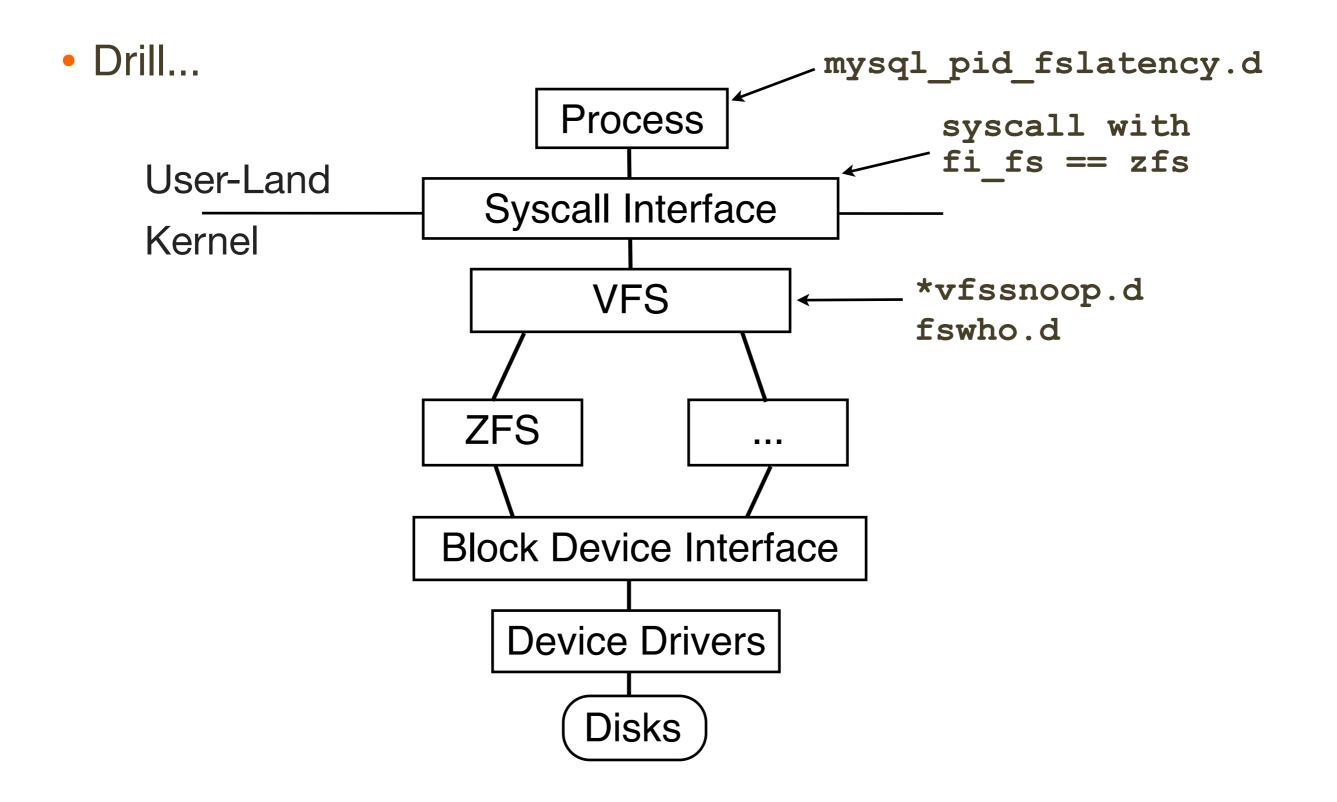
• For example, ZFS

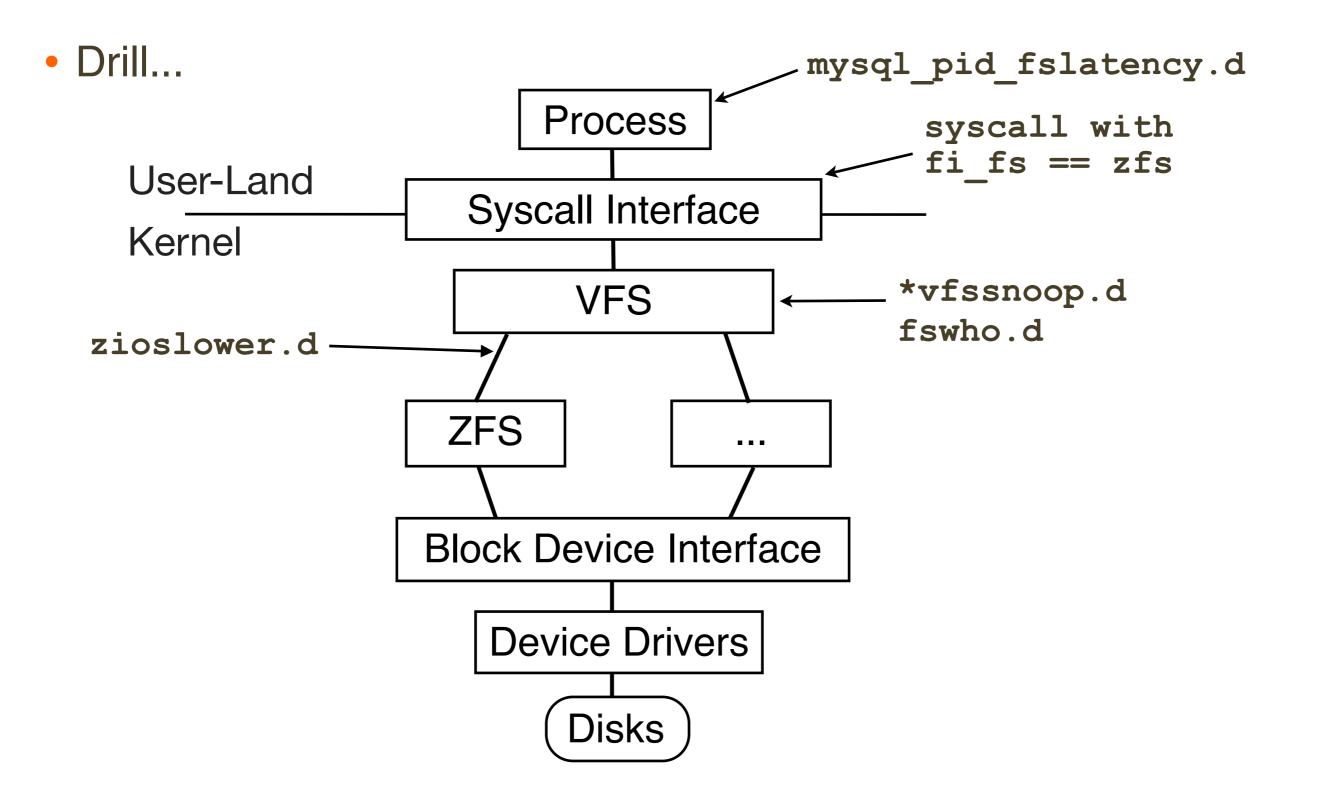


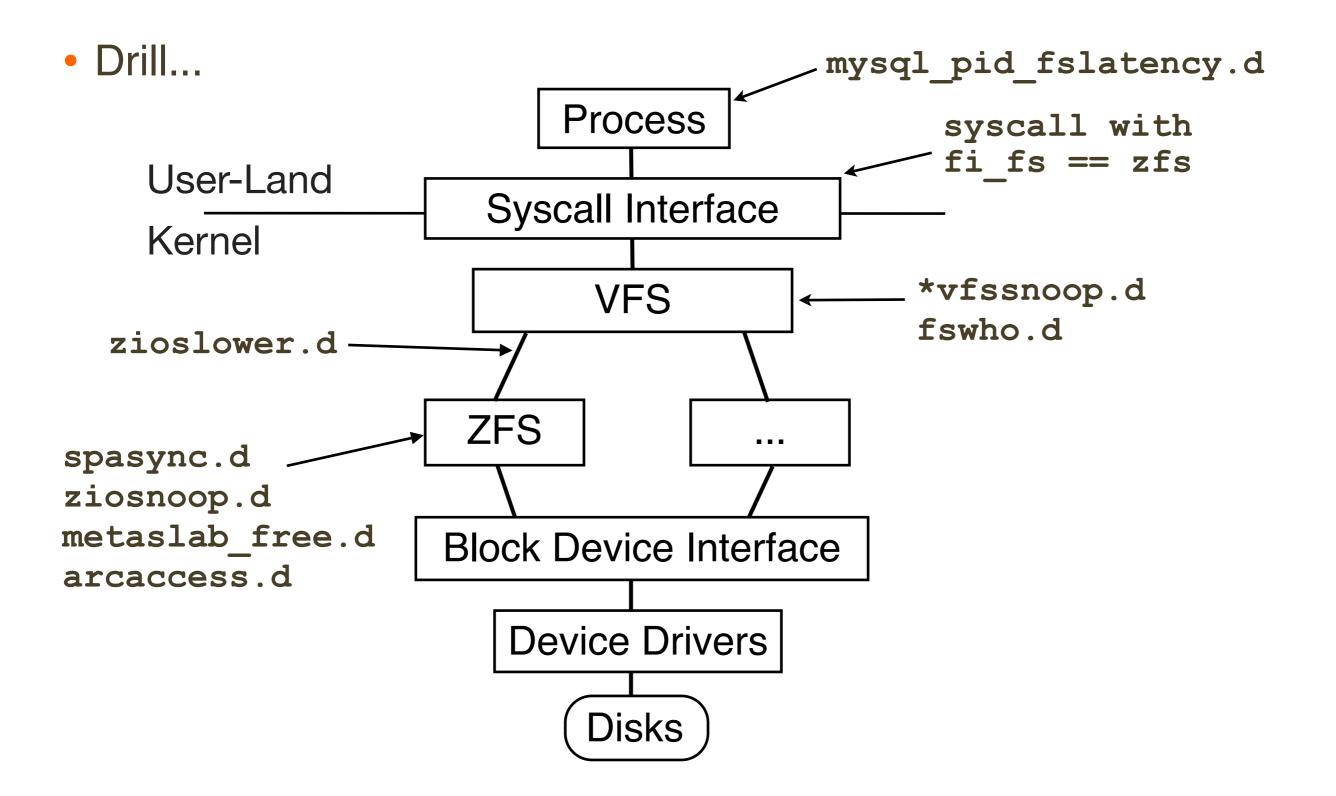


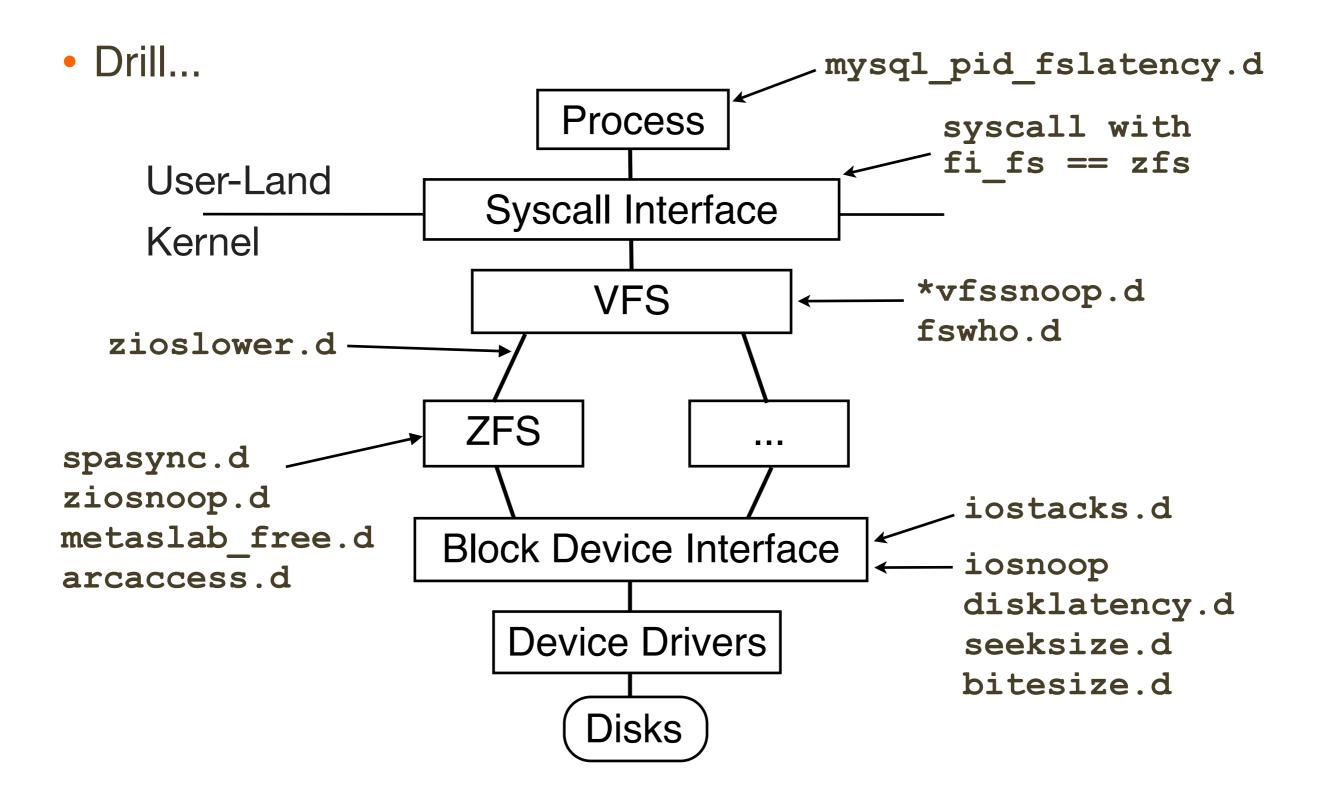


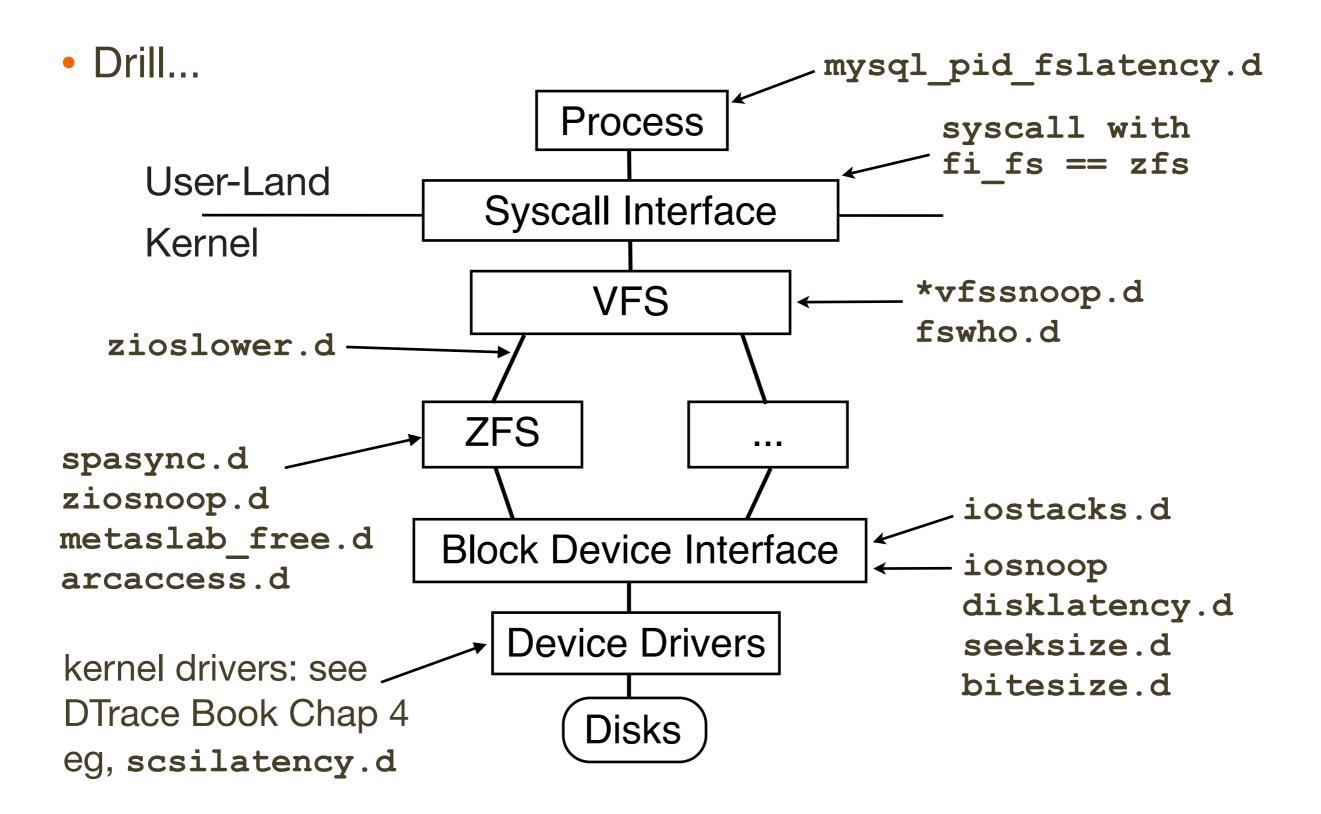












### Drill-Down Analysis Method, cont.

- Moves from higher- to lower-level details based on findings: environment-wide down to metal
- Peels away layers of software and hardware to locate cause

• Pros:

- Will identify root cause(s)
- Cons:
  - Time consuming especially when drilling in the wrong direction

## Latency Analysis Method

#### Latency Analysis Method, cont.

- 1. Measure operation time (latency)
- 2. Divide into logical synchronous components
- 3. Continue division until latency origin is identified
- 4. Quantify: estimate speedup if problem fixed

• Example, logging of slow query time with file system latency:

```
# ./mysqld_pid_fslatency_slowlog.d 29952
2011 May 16 23:34:00 filesystem I/O during query > 100 ms: query 538 ms, fs 509 ms, 83 I/O
2011 May 16 23:34:11 filesystem I/O during query > 100 ms: query 342 ms, fs 303 ms, 75 I/O
2011 May 16 23:34:38 filesystem I/O during query > 100 ms: query 479 ms, fs 471 ms, 44 I/O
2011 May 16 23:34:58 filesystem I/O during query > 100 ms: query 153 ms, fs 152 ms, 1 I/O
2011 May 16 23:35:09 filesystem I/O during query > 100 ms: query 383 ms, fs 372 ms, 72 I/O
2011 May 16 23:36:09 filesystem I/O during query > 100 ms: query 406 ms, fs 344 ms, 109 I/O
2011 May 16 23:36:54 filesystem I/O during query > 100 ms: query 343 ms, fs 319 ms, 75 I/O
2011 May 16 23:36:54 filesystem I/O during query > 100 ms: query 196 ms, fs 185 ms, 59 I/O
2011 May 16 23:37:10 filesystem I/O during query > 100 ms: query 254 ms, fs 209 ms, 83 I/O
```

Operation Time FS Component

## Latency Analysis Method, cont.: Types

- Drill-down analysis of latency
  - many of the previous ZFS examples were latency-based
- Latency binary search, eg:
  - 1. Operation latency is A
  - 2. Measure A
  - 3. Measure synchronous components: B, C (can be sums)
  - 4. if B > C, A = B. else A = C
  - 5. If problem unsolved, go to 2
- Spot-the-outlier from multiple layers correlate latency

```
# ./zfsstacklatency.d
dtrace: script './zfsstacklatency.d' matched 25 probes
^C
CPU
        ID
                               FUNCTION: NAME
         2
 15
                                        : END
  zfs read
                                                        time (ns)
                      ----- Distribution ------
           value
                                                             count
             512 |
                                                             0
            1024 |0000
                                                             424
                                                             768
            2048 |@@@@@@@@
            4096 |@@@@
                                                             375
            8192 |@@@@@@@@@@@@@@@@
                                                             1548
                                                             763
           16384 |@@@@@@@@
           32768 |
                                                             35
           65536 |
                                                             4
                                                             12
          131072 I
          262144 |
                                                             1
          524288 |
                                                             0
```

zfs_write	time	(ns)
value	Distribution	count
2048		0
4096	000	718
8192	000000000000000000000000000000000000000	5152
16384	000000000000000000000000000000000000000	4085
32768	000	731
65536	0	137
131072		23
262144		3
524288		0

zio_wait			time	(ns)
value		Distribution		count
512				0
1024	0000000000000000			6188
2048	000000000000000000000000000000000000000	9999999999999		11459
4096	0000			2026
8192				60
16384				37
32768				8
65536				2
131072				0
262144				0
524288				1
1048576				0
2097152				0
4194304				0
8388608				0
16777216				0
33554432				0
67108864				0
134217728				0
268435456				1
536870912	1			0

zio_vdev_io_dor	ne time	(ns)
value	Distribution	count
2048		0
4096	@	8
8192	0000	56
16384	@	17
32768	1 @	13
65536		2
131072	00	24
262144	00	23
524288	000	44
1048576	000	38
2097152		1
4194304		4
8388608		4
16777216		4
33554432	000	43
67108864	000000000000000000000000000000000000000	315
134217728		0
268435456		2
536870912	I	0

vdev_disk_io_do	one time	(ns)
value	Distribution	count
65536		0
131072	0	12
262144	00	26
524288	0000	47
1048576	000	40
2097152		1
4194304		4
8388608		4
16777216		4
33554432	000	43
67108864	000000000000000000000000000000000000000	315
134217728		0
268435456		2
536870912		0

io:::start	time	(ns)
value	Distribution	count
32768		0
65536		3
131072	00	19
262144	00	21
524288	0000	45
1048576	000	38
2097152		0
4194304		4
8388608		4
16777216		4
33554432	000	43
67108864	000000000000000000000000000000000000000	315
134217728		0
268435456		2
536870912		0

scsi	time	(ns)
value	Distribution	count
16384		0
32768		2
65536		3
131072	0	18
262144	00	20
524288	0000	46
1048576	000	37
2097152		0
4194304		4
8388608		4
16777216		4
33554432	000	43
67108864	000000000000000000000000000000000000000	315
134217728		0
268435456		2
536870912	I	0

mega_sas	time	(ns)
value	Distribution	count
16384		0
32768		2
65536		5
131072	00	20
262144	0	16
524288	0000	50
1048576	000	33
2097152		0
4194304		4
8388608		4
16777216		4
33554432	000	43
67108864	000000000000000000000000000000000000000	315
134217728		0
268435456		2
536870912		0

#### Latency Analysis Method, cont.

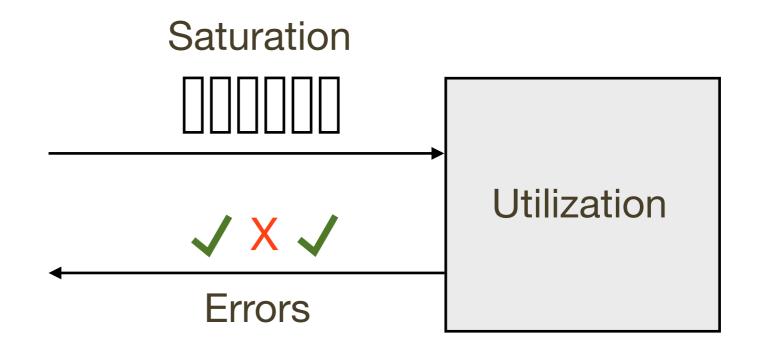
- Latency matters potentially solve most issues
- Similar pros & cons as drill-down analysis
- Also see Method R: latency analysis initially developed for Oracle databases [Millsap 03]

#### USE Method

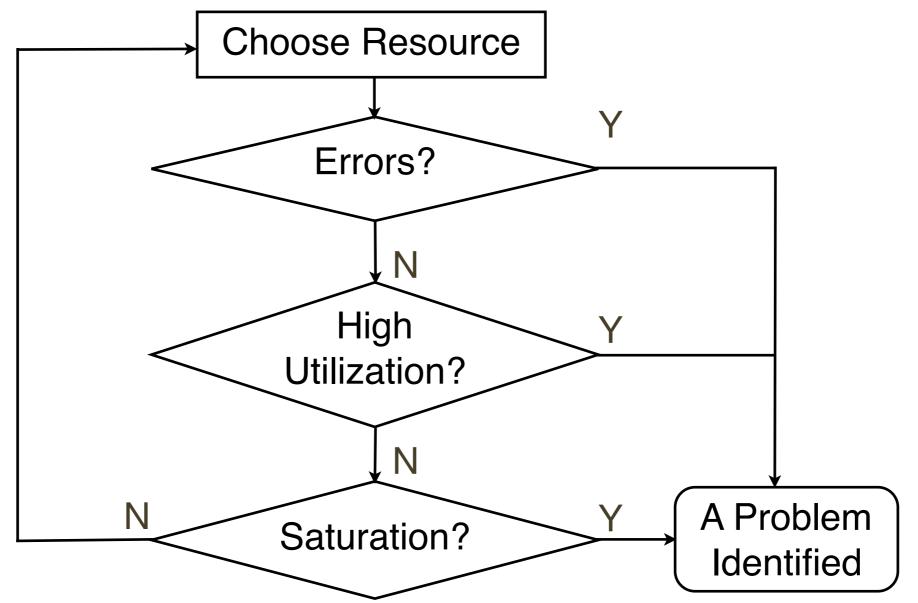
## USE Method

- For every resource, check:
- 1. Utilization
- 2. Saturation
- 3. Errors

- For every resource, check:
- 1. Utilization: time resource was busy, or degree used
- 2. Saturation: degree of queued extra work
- 3. Errors: any errors



• Process:

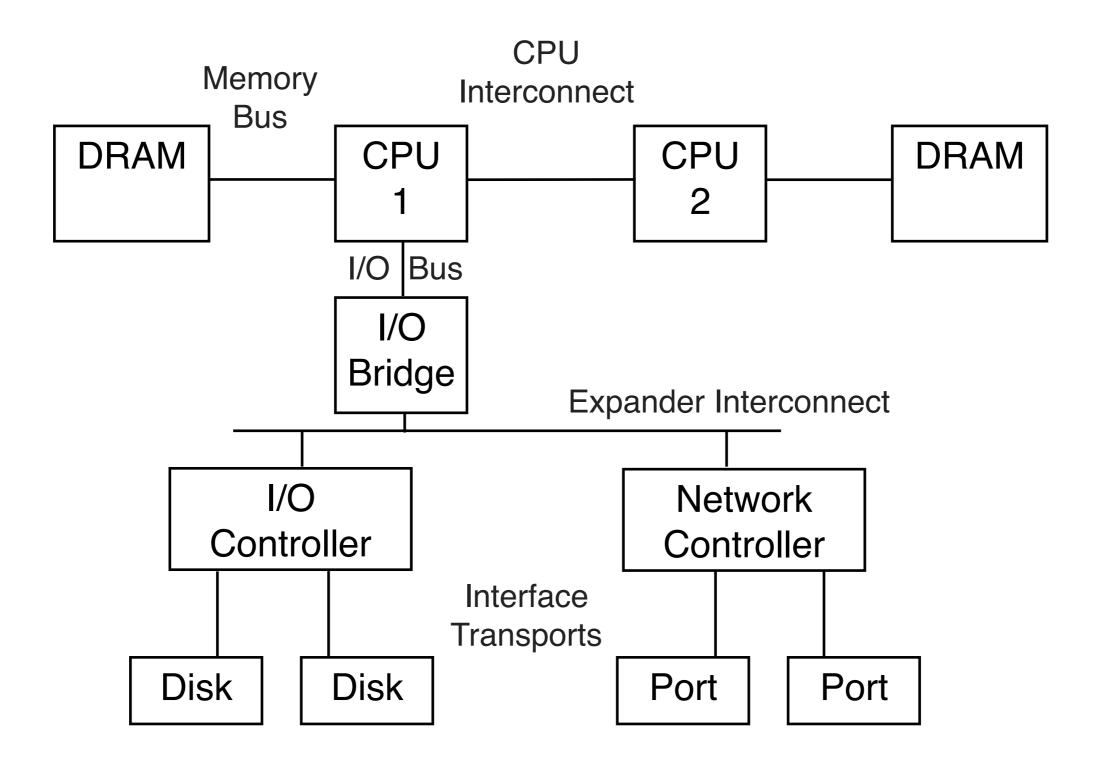


• Errors are often easier to interpret, and can be checked first

- Hardware Resources:
  - CPUs
  - Main Memory
  - Network Interfaces
  - Storage Devices
  - Controllers
  - Interconnects

- A great way to determine resources is to find or draw the server *functional diagram* 
  - Vendor hardware teams have these
- Analyze every component in the data path

## USE Method, cont.: Functional Diagram



- Definition of utilization depends on the resource type:
  - I/O resource (eg, disks) utilization is time busy
  - Capacity resource (eg, main memory) utilization is space consumed
- Storage devices can act as both

- Utilization
  - 100% usually a bottleneck
  - 60%+ often a bottleneck for I/O resources, especially when high priority work cannot easily interrupt lower priority work (eg, disks)
  - Beware of time intervals. 60% utilized over 5 minutes may mean 100% utilized for 3 minutes then idle
  - Best examined per-device (unbalanced workloads)

- Saturation
  - Any sustained non-zero value adds latency
- Errors
  - Should be obvious

## USE Method, cont.: Examples

Resource	Туре	Metric
CPU	utilization	
CPU	saturation	
Memory	utilization	
Memory	saturation	
Network Interface	utilization	
Storage Device I/O	utilization	
Storage Device I/O	saturation	
Storage Device I/O	errors	

## USE Method, cont.: Examples

Resource	Туре	Metric
CPU	utilization	CPU utilization
CPU	saturation	run-queue length, sched lat.
Memory	utilization	available memory
Memory	saturation	paging or swapping
Network Interface	utilization	RX/TX tput/bandwidth
Storage Device I/O	utilization	device busy percent
Storage Device I/O	saturation	wait queue length
Storage Device I/O	errors	device errors

# USE Method, cont.: Harder Examples

Resource	Туре	Metric
CPU	errors	
Network	saturation	
Storage Controller	utilization	
<b>CPU Interconnect</b>	utilization	
Mem. Interconnect	saturation	
I/O Interconnect	utilization	

# USE Method, cont.: Harder Examples

Resource	Туре	Metric
CPU	errors	eg, correctable CPU cache ECC events
Network	saturation	"nocanputs", buffering
Storage Controller	utilization	active vs max controller IOPS and tput
<b>CPU Interconnect</b>	utilization	per port tput / max bandwidth
Mem. Interconnect	saturation	memory stall cycles, high cycles-per-instruction (CPI)
I/O Interconnect	utilization	bus throughput / max bandwidth

- Some software resources can also be studied:
  - Mutex Locks
  - Thread Pools
  - Process/Thread Capacity
  - File Descriptor Capacity
- Consider possible USE metrics for each

- This process may reveal missing metrics those not provided by your current toolset
  - They are your known unknowns
  - Much better than unknown unknowns
- More tools can be installed and developed to help
  - Please, no more top variants! unless it is *interconnect*-top or *bus*-top

## USE Method, cont.: Example Linux Checklist

http://dtrace.org/blogs/brendan/2012/03/07/the-use-method-linux-performance-checklist

Resource	Туре	Metric
CPU	Utilization	<pre>per-cpu: mpstat -P ALL 1, "%idle"; sar -P ALL, "%idle"; system-wide: vmstat 1, "id"; sar -u, "%idle"; dstat -c, "idl"; per-process:top, "%CPU"; htop, "CPU %"; ps -o pcpu; pidstat 1, "%CPU"; per-kernel- thread: top/htop ("K" to toggle), where VIRT == 0</pre>
CPU	Saturation	<pre>system-wide: vmstat 1, "r" &gt; CPU count [2]; sar -q, "runq-sz" &gt; CPU count; dstat -p, "run" &gt; CPU count; per-process: /proc/PID/schedstat 2nd field (sched_info.run_delay); perf sched latency (shows "Average" and "Maximum" delay per-schedule); dynamic tracing, eg, SystemTap schedtimes.stp "queued(us)" [3]</pre>
CPU	Errors	<pre>perf (LPE) if processor specific error events (CPC) are available; eg, AMD64's "04Ah Single-bit ECC Errors Recorded by Scrubber" [4]</pre>

... etc for all combinations (would fill a dozen slides)

# USE Method, cont.: illumos/SmartOS Checklist

http://dtrace.org/blogs/brendan/2012/03/01/the-use-method-solaris-performance-checklist

Resource	Туре	Metric
CPU	Utilization	<pre>per-cpu: mpstat 1, "idl"; system-wide: vmstat 1, "id"; per-process:prstat -c 1 ("CPU" == recent), prstat - mLc 1 ("USR" + "SYS"); per-kernel-thread: lockstat - Ii rate, DTrace profile stack()</pre>
CPU	Saturation	<pre>system-wide: uptime, load averages; vmstat 1, "r"; DTrace dispqlen.d (DTT) for a better "vmstat r"; per- process: prstat -mLc 1, "LAT"</pre>
CPU	Errors	<pre>fmadm faulty; cpustat (CPC) for whatever error counters are supported (eg, thermal throttling)</pre>
Memory	Saturation	<pre>system-wide: vmstat 1, "sr" (bad now), "w" (was very bad); vmstat -p 1, "api" (anon page ins == pain), "apo"; per-process: prstat -mLc 1, "DFL"; DTrace anonpgpid.d (DTT), vminfo:::anonpgin on execname</pre>

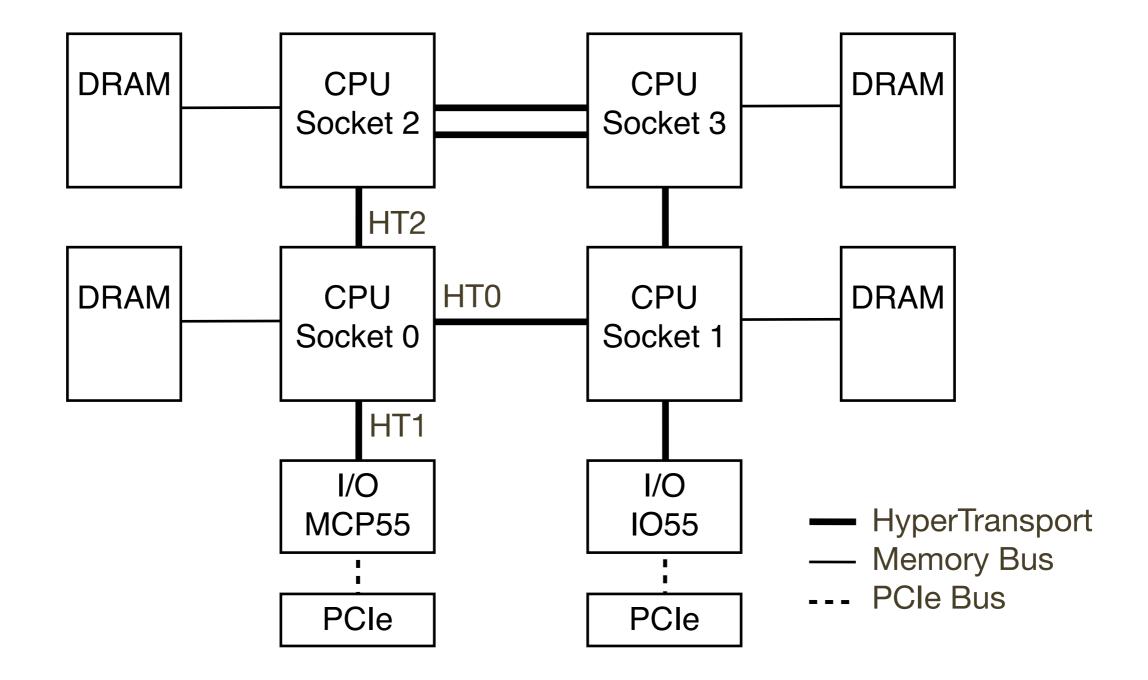
... etc for all combinations (would fill a dozen slides)

### USE Method, cont.

- To be thorough, you will need to use:
- CPU performance counters (CPC)
  - For bus and interconnect activity; eg, perf events, cpustat
- Dynamic Tracing
  - For missing saturation and error metrics; eg, DTrace

## USE Method, cont.: CPC Example

• Quad-processor AMD w/HyperTransport, functional diagram:



### USE Method, cont.: CPC Example

• Per-port HyperTransport TX throughput:

#### # ./amd64htcpu 1

· · · · ·	•			
Socket	HTO TX MB/s	HT1 TX MB/s	HT2 TX MB/s	HT3 TX MB/s
0	3170.82	595.28	2504.15	0.00
1	2738.99	2051.82	562.56	0.00
2	2218.48	0.00	2588.43	0.00
3	2193.74	1852.61	0.00	0.00
Socket	HTO TX MB/s	HT1 TX MB/s	HT2 TX MB/s	HT3 TX MB/s
0	3165.69	607.65	2475.84	0.00
1	2753.18	2007.22	570.70	0.00
2	2216.62	0.00	2577.83	0.00
3	2208.27	1878.54	0.00	0.00
[]				

#### • Decoder Matrix:

Socket	HTO TX MB/s	HT1 TX MB/s	HT2 TX MB/s	HT3 TX MB/s
0	CPU0-1	MCP55	CPU0-2	0.00
1	CPU1-0	CPU1-3	1055	0.00
2	CPU2-3	CPU2-3	CPU2-0	0.00
3	CPU3-2	CPU3-1	CPU3-2	0.00

# USE Method, cont.: CPC Example

- Currently not that easy to write – takes time to study the processor manuals
- Intel® 64 and IA-32 Architectures Software Developer's Manual Volume 3B, page 535 of 1,026:

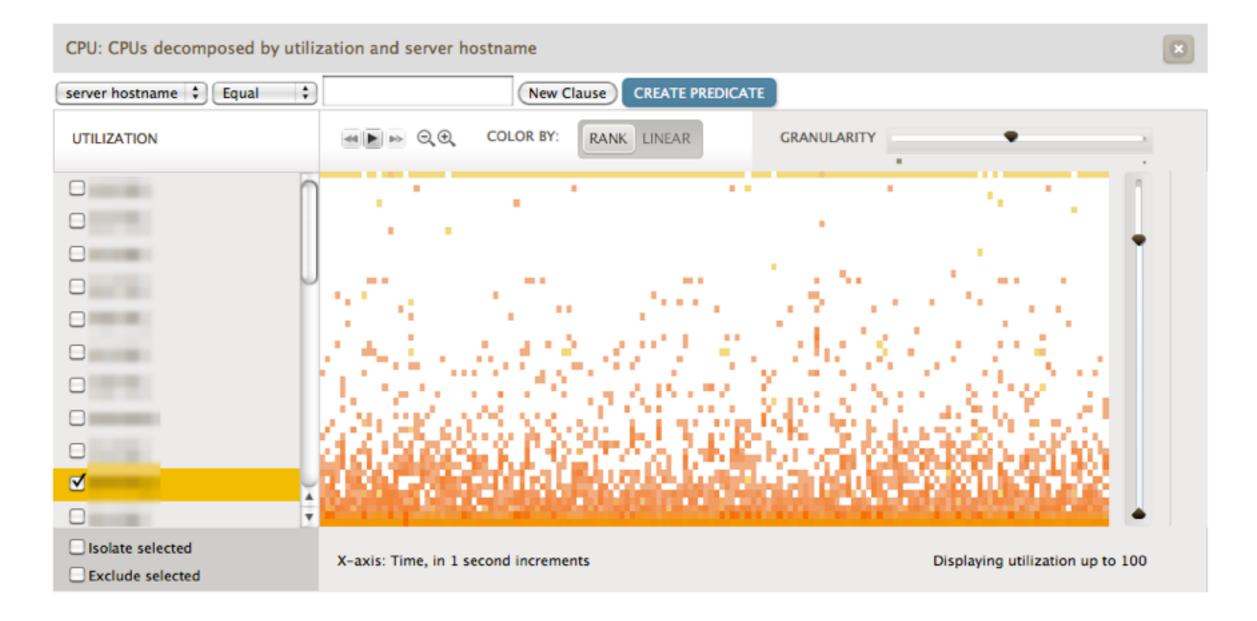
#### Table A-10. Non-Architectural Performance Events in Processors Based on Intel Core Microarchitecture (Contd.)

Event Num	Umask Value	Event Name	Definition	Description and Comment
19H	02H	DELAYED_ BYPASS.LOAD	Delayed bypass to load operation	This event counts the number of delayed bypass penalty cycles that a load operation incurred.
				When load operations use data immediately after the data was generated by an integer execution unit, they may (pending on certain dynamic internal conditions) incur one penalty cycle due to delayed data bypass between the units.
				Use IA32_PMC1 only.
21H	See Table 30-2	L2_ADS.(Core)	Cycles L2 address bus is in use	This event counts the number of cycles the L2 address bus is being used for accesses to the L2 cache or bus queue. It can count occurrences for this core or both cores.
23H	See Table 30-2	L2_DBUS_BUSY _RD.(Core)	Cycles the L2 transfers data to the core	This event counts the number of cycles during which the L2 data bus is busy transferring data from the L2 cache to the core. It counts for all L1 cache misses (data and instruction) that hit the L2 cache.
				This event can count occurrences for this core or both cores.

• I've written and shared CPC-based tools before. It takes a lot of maintenance to stay current; getting better with PAPI.

### USE Method, cont.: Products

- Supported products can be developed to help
- Joyent Cloud Analytics includes metrics to support USE



### USE Method, cont.: Products

- Supported products can be developed to help
- Joyent Cloud Analytics includes metrics to support USE

CPU: CPUs decomposed by utilization and server hostname			
server hostname 🛟 Equal 🛟	New Clause CREATE PREDICATE		
UTILIZATION	GRANULARITY		
	Cloud-wide Per-CPU		
	Utilization Heat Map Hot CPU		
	See State and the state of the Second state of the Second		
	zi si Manina a shi ki wingi ki ƙalarisi i ta ƙ		
	网络龙泽属金属龙龙龙泽金属金属龙龙龙龙和金属金属金属金属金属金属金属金属金属金属金属金属金属金属金属金		
Isolate selected Exclude selected	X-axis: Time, in 1 second increments Hostname Displaying utilization up to 100		

#### USE Method, cont.: Products

- Do you develop a monitoring product?
  - Suggestion: add USE Method wizard
  - For docs, refer to this talk and: http://queue.acm.org/detail.cfm?id=2413037
- Do you pay for a monitoring product?
  - Ask for the USE Method

### USE Method, cont.

- Resource-based approach
- Quick system health check, early in an investigation
- Pros:
  - Complete: all resource bottlenecks and errors
  - Not limited in scope by your current toolset
  - No unknown unknowns at least known unknowns
  - Efficient: picks three metrics for each resource from what may be dozens available
- Cons:
  - Limited to a class of issues

#### Stack Profile Method

#### Stack Profile Method

- 1. Profile thread stack traces (on- and off-CPU)
- 2. Coalesce
- 3. Study stacks bottom-up

- Profiling thread stacks:
  - On-CPU: often profiled by sampling (low overhead)
    - eg, perf, oprofile, dtrace
  - Off-CPU (sleeping): not commonly profiled
    - no PC or pinned thread stack for interrupt-profiling
    - with static/dynamic tracing, you can trace stacks on scheduler off-/on-cpu events, and, stacks don't change while off-cpu
    - I've previously called this: Off-CPU Performance Analysis
- Examine both

- Eg, using DTrace (easiest to demo both), for PID 191:
- On-CPU:
  - dtrace -n 'profile-97 /pid == 191/ { @[ustack()] =
     count(); }'
  - output has stacks with sample counts (97 Hertz)
- Off-CPU:
  - dtrace -n 'sched:::off-cpu /pid == 191/ { self->ts =
     timestamp; } sched:::on-cpu /self->ts/ { @[ustack()]
     = sum(timestamp self->ts); self->ts = 0; }'
  - output has stacks with nanosecond times

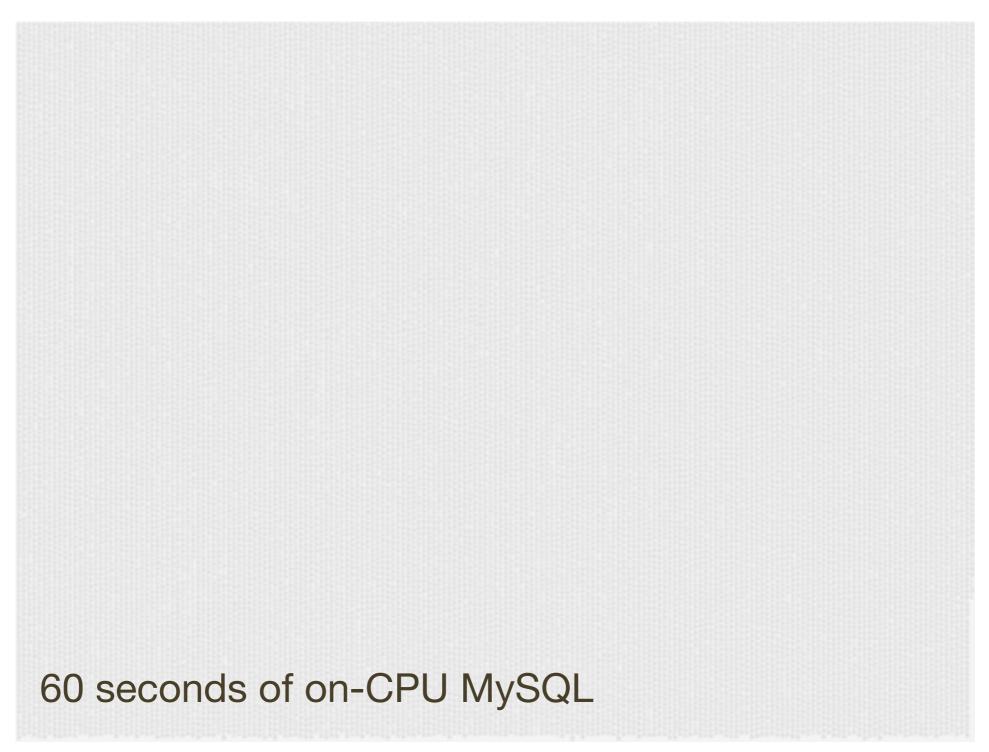
• One stack:

libc.so.1`mutex trylock adaptive+0x112 libc.so.1`mutex lock impl+0x165 libc.so.1`mutex\_lock+0xc mysqld`key cache read+0x741 mysqld` mi fetch keypage+0x48 mysqld w search+0x84 mysqld mi ck write btree+0xa5 mysqld`mi write+0x344 mysqld` ZN9ha myisam9write rowEPh+0x43 mysqld ZN7handler12ha write rowEPh+0x8d mysqld ZL9end writeP4JOINP13st join tableb+0x1a3 mysqld`\_ZL20evaluate\_join\_recordP4J0INP13st join tablei+0x11e mysqld Z10sub selectP4J0INP13st join tableb+0x86 mysqld ~ ZL9do selectP4JOINP4ListI4ItemEP5TABLEP9Procedure+0xd9 mysqld `ZN4JOIN4execEv+0x482 mysqld T212mysql selectP3THDPPP4ItemP10TABLE LISTjR4ListIS1 ES2 ... mysqld T13handle selectP3THDP3LEXP13select resultm+0x17d mysqld ZL21execute sqlcom selectP3THDP10TABLE LIST+0xa6 mysqld`\_Z21mysql execute commandP3THD+0x124b mysqld`\_Z11mysql\_parseP3THDPcjP12Parser state+0x3e1 mysqld Z16dispatch command19enum server commandP3THDPcj+0x1619 mysqld Z24do handle one connectionP3THD+0x1e5 mysqld`handle one connection+0x4c libc.so.1`\_thrp\_setup+0xbc libc.so.1`\_lwp\_start

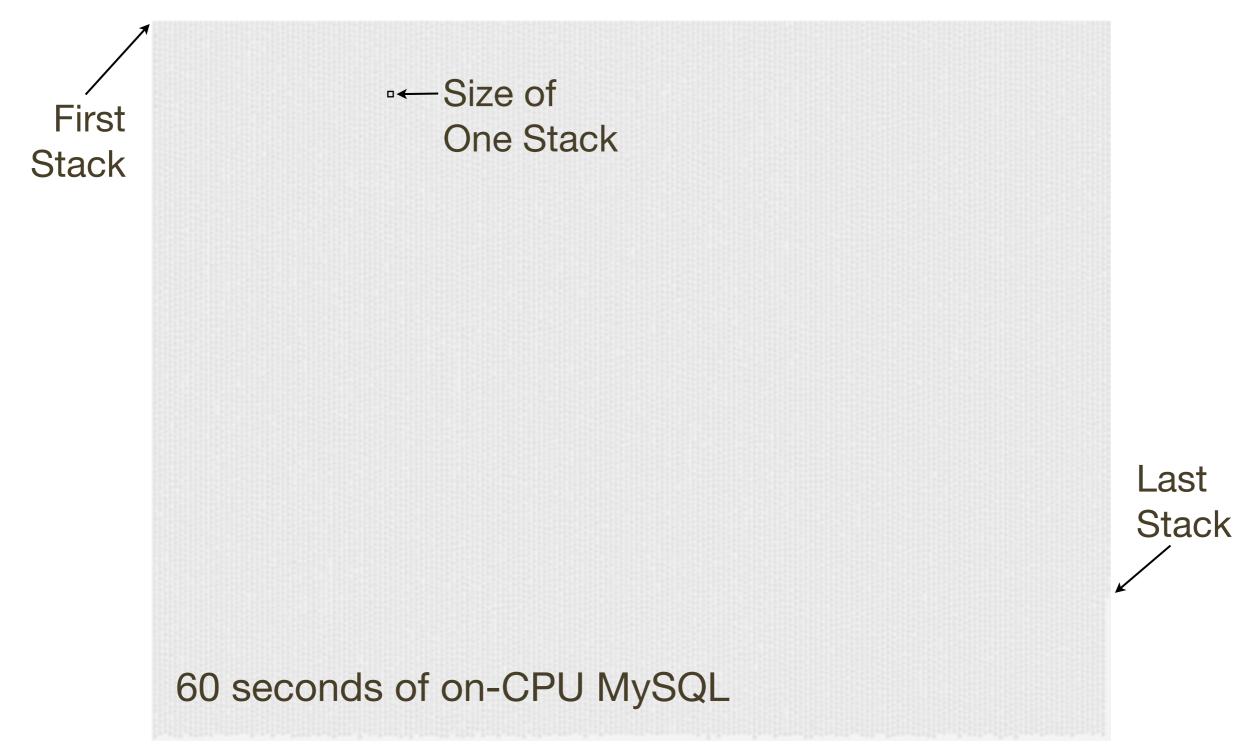
#### • Study, bottom-up:

libc.so.1`mutex trylock adaptive+0x112 libc.so.1`mutex lock impl+0x165 libc.so.1`mutex lock+0xc mysqld`key cache read+0x741 mysqld` mi fetch keypage+0x48 mysqld  $\overline{w}$  search+ $\overline{0}x84$ mysqld` mi ck write btree+0xa5 mysqld`mi write+0x344 mysqld` ZN9ha myisam9write rowEPh+0x43 mysqld` ZN7handler12ha write rowEPh+0x8d mysqld ZL9end writeP4JOINP13st join tableb+0x1a3 mysqld`ZL20evaluate join recordP4J0INP13st join tabl 0x11e mysqld  $\overline{Z10sub}$  selectP4JOINP13st join tableb+0x86 mysqld `ZL9do selectP4JOINP4ListI4ItemEP5TABLEP9Proce e+0xd9 mysqld `ZN4**JOIN**4execEv+0x482 mysqld Z12mysql selectP3THDPPP4ItemP10TABLE LISTjR4L IS1 ES2 ... mysqld ~ Z13handle selectP3THDP3LEXP13select resultm+0 mysqld TL21execute sqlcom selectP3THDP10TABLE LIST+0 mysqld`Z21mysql execute commandP3THD+0x124b mysqld` Z11mysql parseP3THDPcjP12Parser state+0x3e1 mysqld`Z16dispatch command19enum server commandP3THD +0x1619 mysqld`\_Z24do\_handle one connectionP3THD+0x1e5 mysqld handle one connection+0x4c libc.so.1`\_thrp\_setup+0xbc libc.so.1`\_lwp\_start

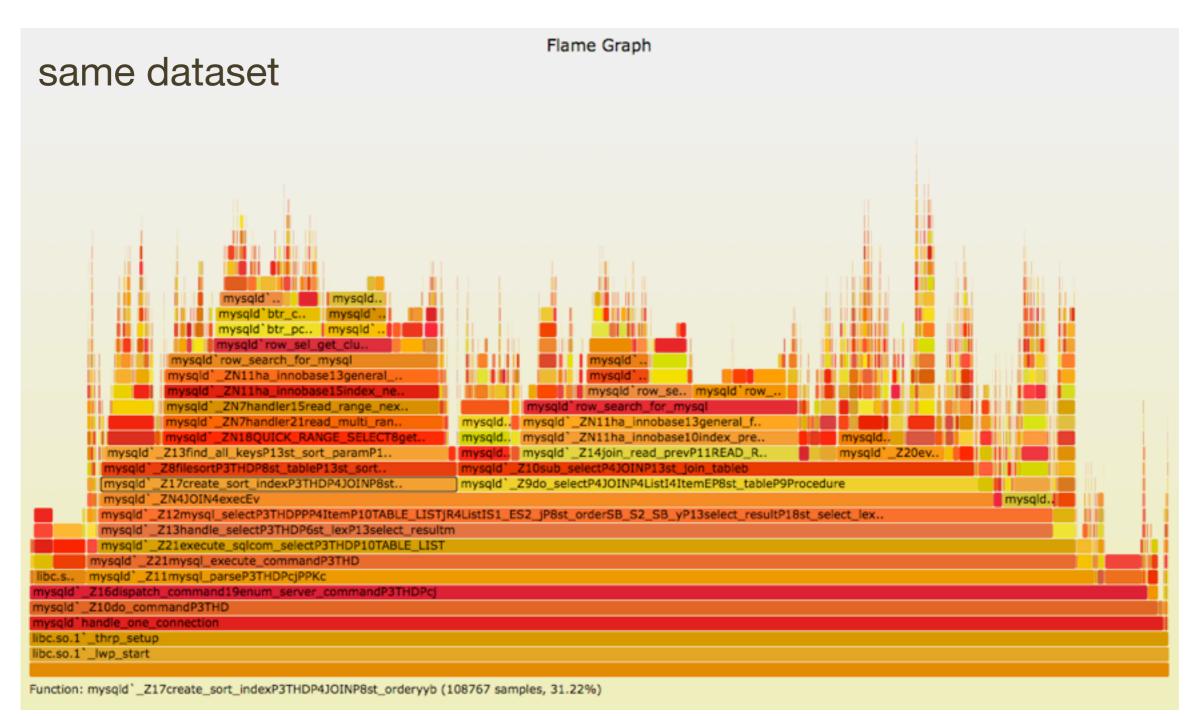
• Profiling, 27,053 unique stacks (already aggregated):



• Profiling, 27,053 unique stacks (already aggregated):



Coalesce: Flame Graphs for on-CPU (DTrace/perf/...)



• Coalesce: perf events for on-CPU (also has interactive mode)

```
# perf report | cat
[...]
# Overhead Command Shared Object
                                                                   Symbol
. . . . . . . . . . . . . . . .
               swapper [kernel.kallsyms] [k] native safe halt
   72.98%
               --- native safe halt
                   default idle
                   cpu idle
                   rest init
                   start kernel
                   x86 6\overline{4} start reservations
                   x86 64 start kernel
                    dd [kernel.kallsyms] [k] acpi pm read
    9.43%
                    --- acpi pm read
                        ktime get ts
                        |--87.75%-- delayacct blkio start
                                  io schedule timeout
                                  balance_dirty_pages_ratelimited_nr
                                  generic file buffered write
[...]
```

#### Stack Profile Method, cont.: Example Toolset

- 1. Profile thread stack traces
  - DTrace on-CPU sampling, off-CPU tracing
- 2. Coalesce
  - Flame Graphs
- 3. Study stacks bottom-up

• Pros:

- Can identify a wide range of issues, both on- and off-CPU
- Cons:
  - Doesn't identify issues with dependancies eg, when blocked on a mutex or CV
  - If stacks aren't obvious, can be time consuming to browse code (assuming you have source access!)

# Methodology Ordering

- A suggested order for applying previous methodologies:
  - 1. Problem Statement Method
  - 2. USE Method
  - 3. Stack Profile Method
  - 4. Workload Characterization Method
  - 5. Drill-Down Analysis Method
  - 6. Latency Analysis Method

## Final Remarks

- Methodologies should:
  - solve real issues quickly
  - not mislead or confuse
  - be easily learned by others
- You may incorporate elements from multiple methodologies while working an issue
  - methodologies don't need to be followed strictly they are a means to an end

### Final Remarks, cont.

- Be easily learned by others:
  - Try tutoring/teaching if students don't learn it and solve issues quickly, it isn't working
  - This was the inspiration for the USE Method I was teaching performance classes several years ago
  - I've been teaching again recently, which inspired me to document the Stack Profile Method (more classes in 2013)

#### References

- Gregg, B. 2013. Thinking Methodically About Performance. ACMQ http://queue.acm.org/detail.cfm?id=2413037
- Streetlight Effect; http://en.wikipedia.org/wiki/Streetlight\_effect
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- Millsap, C., Holt, J. 2003. *Optimizing Oracle Performance*. O'Reilly.
- Pacheco, D. 2011. Welcome to Cloud Analytics. http://dtrace.org/blogs/ dap/2011/03/01/welcome-to-cloud-analytics/
- Gregg, B. 2013. Systems Performance, Prentice Hall (upcoming!) includes more methodologies

# Methodology Origins

- Anti-Methodologies Bryan Cantrill encouraged me to write these up, and named them, while I was documenting other methodologies
- Problem Statement Method these have been used by support teams for a while; Alan Hargreaves documented it for performance
- Scientific Method science!
- Latency Analysis Method Cary Millsap has popularized latency analysis recently with Method R
- USE Method myself; inspired to write about methodology from Cary Millsap's work, while armed with the capability to explore methodology due to team DTrace's work
- Stack Profile Method (incl. flame graphs & off-CPU analysis) myself
- Ad Hoc Checklist, Workload Characterization, and Drill-Down Analysis have been around in some form for a while, as far as I can tell

# Thank you!

- email: brendan@joyent.com
- twitter: @brendangregg
- blog: http://dtrace.org/blogs/brendan
- blog resources:
  - http://dtrace.org/blogs/brendan/2012/02/29/the-use-method/
  - http://dtrace.org/blogs/brendan/2012/03/01/the-use-method-solarisperformance-checklist/
  - http://dtrace.org/blogs/brendan/2012/03/07/the-use-method-linux-performancechecklist/
  - http://dtrace.org/blogs/brendan/2011/05/18/file-system-latency-part-3/
  - http://dtrace.org/blogs/brendan/2011/07/08/off-cpu-performance-analysis/
  - http://dtrace.org/blogs/brendan/2011/12/16/flame-graphs/